

# Vowel-context effects on the spectral dynamics of English & Japanese sibilant fricatives

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## Purpose

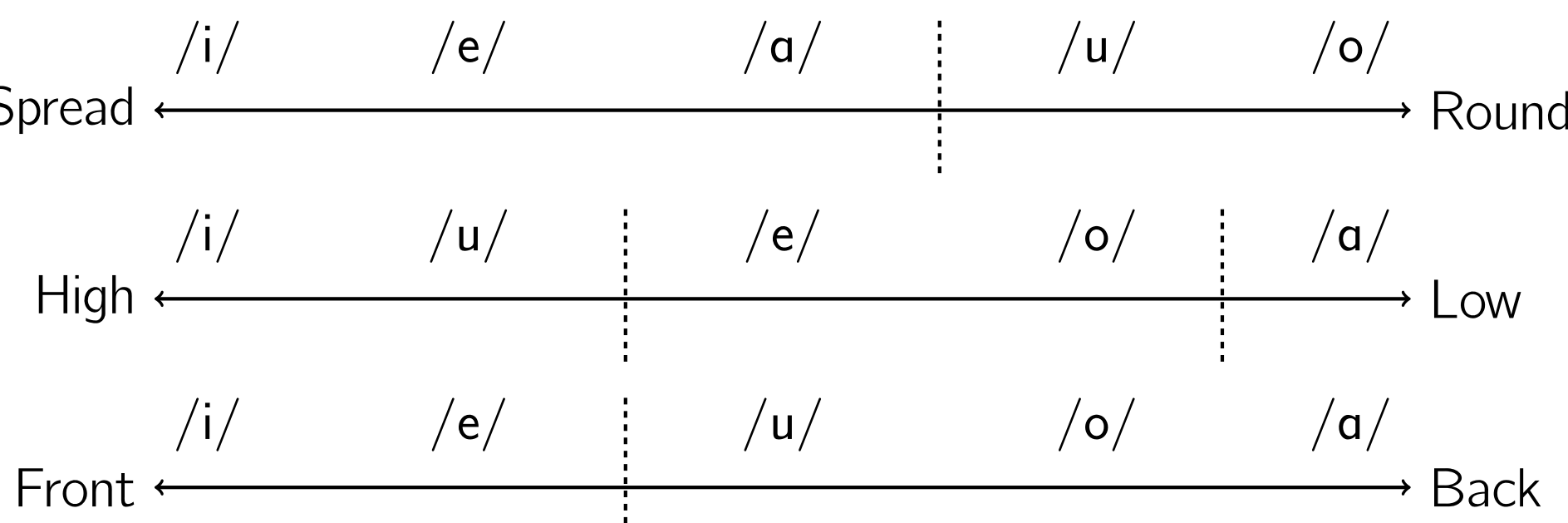
- Fluent speech requires the coproduction of neighboring speech sounds; thus, the acoustic properties of a speech sound are adapted to the context in which it is produced.
- Articulographic (Katz & Bharadwaj, 2001) and ultrasound (Zharkova et al., 2014) studies have found temporal coarticulatory effects of a following vowel on the trajectory of the tongue during the production of a sibilant.
- Anticipatory vowel-context effects on the spectral properties have been reported at discrete locations in the sibilant—e.g., near frication midpoint or vowel boundary (McGowan & Nittrouer, 1988).
- Spectral properties of sibilants vary temporally (Iskarous et al., 2011; Reidy, 2015); hence, the current study investigated effects of vowel context on the *spectral dynamics* of English /s/ and /ʃ/ and Japanese /s/ and /ɕ/.
- Two psychoacoustic spectral properties were considered:
  - peak ERB<sub>N</sub>-number*, a measure of the most prominent frequency;
  - excitation drop*, a measure of spectral balance.

## Background

### Vowel features

- In English & Japanese, the vowels /i/, /u/, /e/, /o/, /a/ exhibit language-specific placement along spread-round, high-low, and front-back continua (e.g., Japanese /u/ is relatively more spread than English /u/), but broadly follow the pattern shown in Fig. 1 (cf. Fromkin, 1964; Fukuda & Hiki, 1982; Wada et al., 1969).

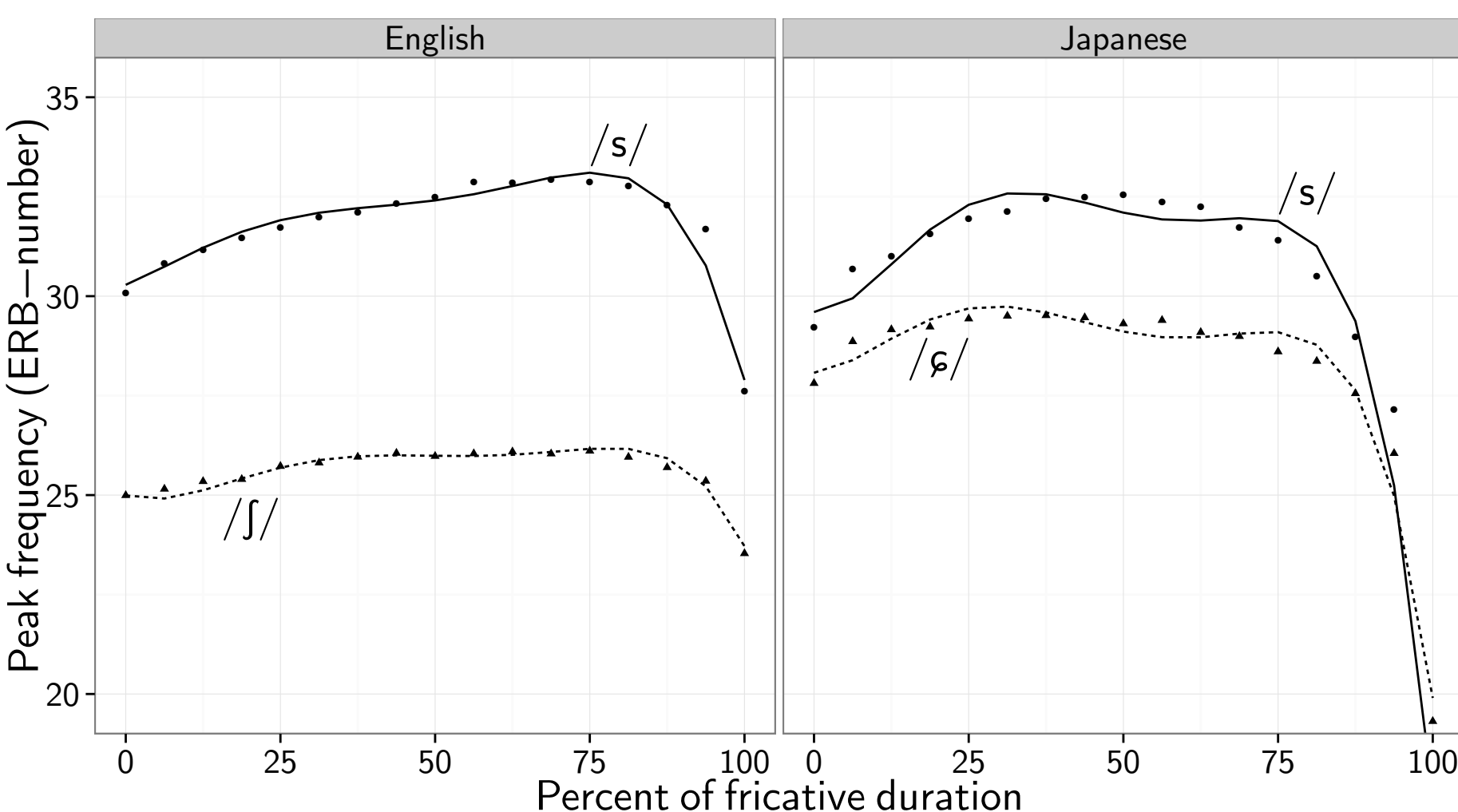
Figure 1: General placement of vowels along feature continua. Discretization of each continuum is marked by dotted lines.



### Sibilant contrast

- English /s/ & /ʃ/: contrastive in all vowel contexts; /ʃ/ constriction more posterior, front cavity larger than /s/; /ʃ/ articulated with lip rounding.
- Japanese /s/ & /ɕ/: contrastive only in back vowel contexts; /ipaC/ constriction longer, more palatalized than /s/; both sibilants articulated with spread lips.
- In both languages, the sibilant contrast is indicated by static and dynamic aspects of spectral properties (cf. average trajectory level vs. trajectory shape in Fig. 2).
- Spectral resonances of English /s/ reported to be lower in round-vowel contexts, due to anticipatory lip rounding.

Figure 2: Temporal variation in peak ERB<sub>N</sub>-number across the English and Japanese sibilants. Fixed-effects predictions of fifth-order polynomial growth curve models are shown as lines; data means, as points.



## Methods

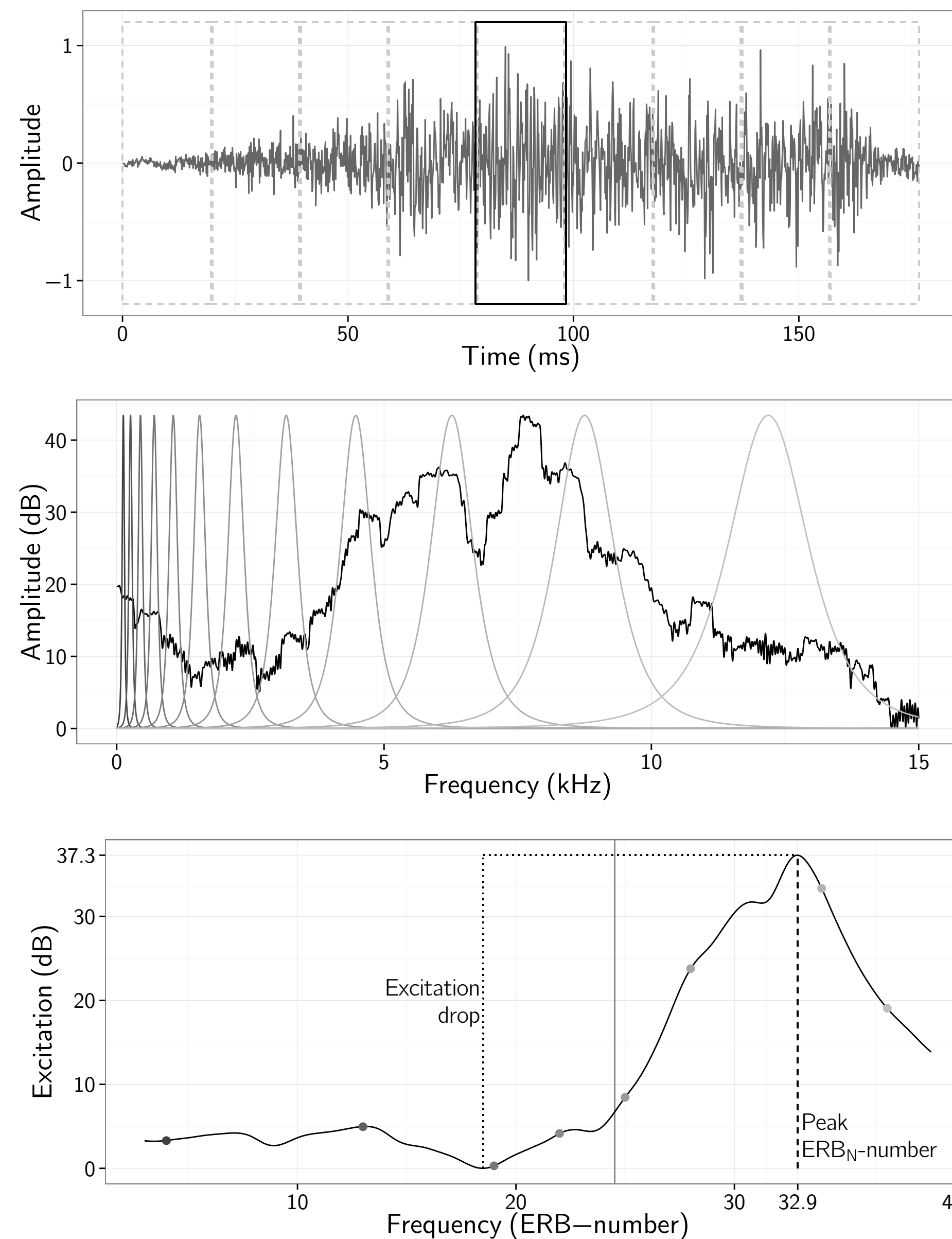
### Participants & materials

- 20 adult native speakers (10 females, 10 males) for each language.
- Sibilants produced in prevocalic, initial position of real words.
  - English vowels were grouped into five classes:
    - {i}: /i, ɪ/; {u}: /u, ʊ/; {e}: /e, ε/; {o}: /o, ɔ/; {a}: /ʌ, ɑ, ɒ/.
  - In each language, 3 target words per phonotactically legal combination of sibilant and vowel (class).
- Elicited with a picture-prompted repetition task.

### Estimation of psychoacoustic spectral properties

- From each sibilant production, 17 excitation patterns were computed from 20-ms intervals spaced evenly across the frication (cf. Fig. 3).
- Psychoacoustic properties computed from each excitation pattern:
  - Peak ERB<sub>N</sub>-number**: most prominent psychoacoustic frequency;
  - Excitation drop**: difference in excitation (dB) between high-freq. peak and low-freq. trough (cutoff = 24.5 ERB<sub>N</sub>-num. ≈ 3 kHz).
- Hence, each production represented with two 17-point trajectories.

Figure 3: Top: Waveform of /s/; odd-numbered 20-ms analysis windows overlaid. Middle: Multitaper spectrum estimated from middle window of /s/; gammatone filters overlaid. Bottom: Excitation pattern output by a 361-channel gammatone filter bank model of auditory periphery.



### Analysis of vowel-context effects on spectral dynamics

- For each sibilant and spectral property, an orthogonal polynomial growth curve model was fitted to the trajectories: 8 models in total.
  - Fixed effects: Vowel, Time<sup>n</sup> up to n = 5, and Time<sup>n</sup> × Vowel interactions. Built up with a stepwise forward-selection protocol.
  - Random effects: Intercept and each power of Time<sup>n</sup> for talker and for vowel-within-talker.
- Interactions between Vowel and non-zero powers of Time<sup>n</sup> indicate differences in spectral dynamics conditioned by vowel context. These are of primary interest.

## Results

Figure 4: Fixed-effects predictions of the growth curve models fitted to the peak ERB<sub>N</sub>-number trajectories. The effects of Vowel included in a model's structure are shown in the bottom left corner of each panel. Each model also included a fixed effect of intercept and of each power of Time<sup>n</sup> up to n = 5.

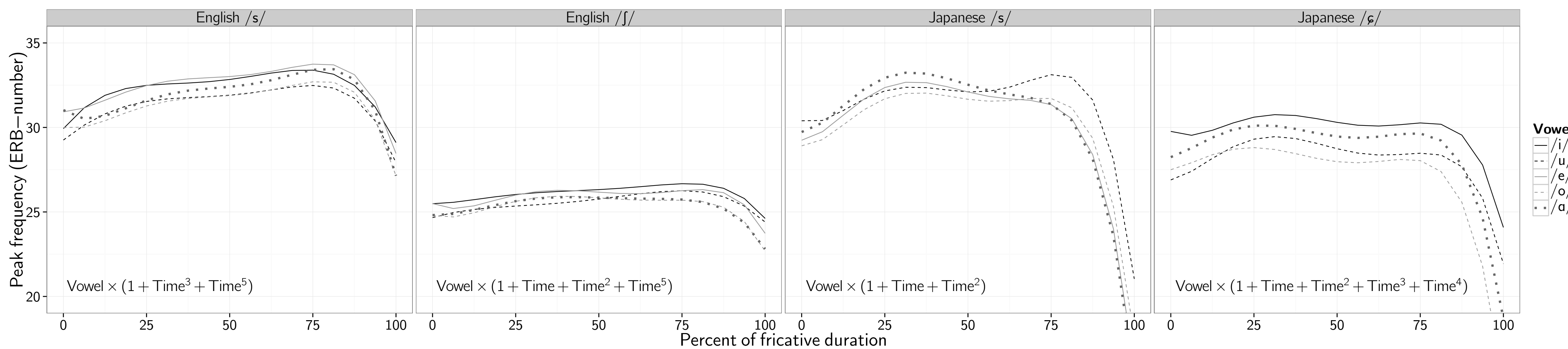
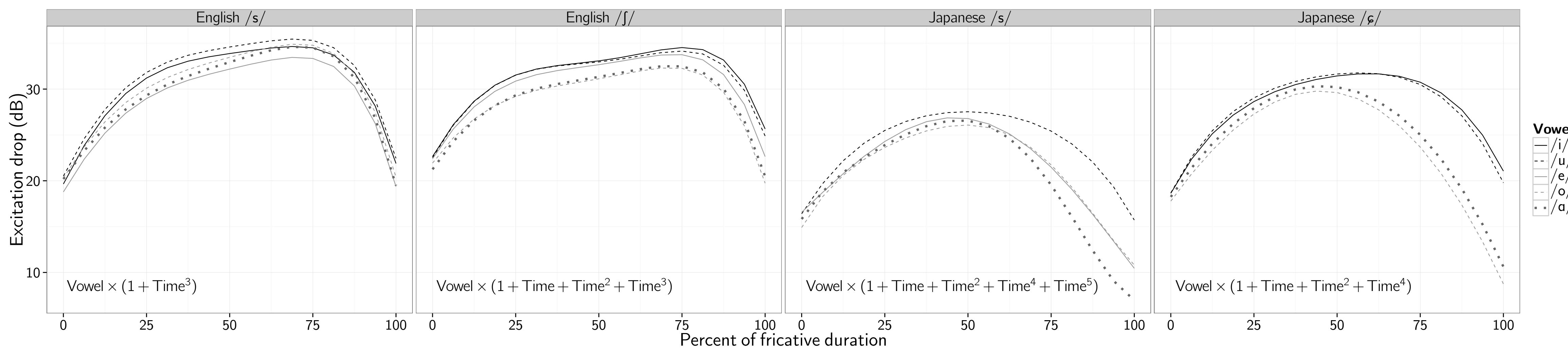


Table 1: Summary of Vowel coefficients in fitted models. Models were fitted with /a/ as the reference level. Positive and negative effects are indicated by + and −, respectively. Significance was determined with Wald confidence intervals; shaded cells denote insignificant coefficients at the .05 level.

	Peak ERB <sub>N</sub> -number												Excitation drop																
	English								Japanese				English								Japanese								
	/s/				/f/				/s/		/ɸ/		/s/				/f/				/s/		/ɸ/						
	/i/	/u/	/e/	/o/	/i/	/u/	/e/	/o/	/u/	/e/	/o/	/i/	/u/	/o/	/i/	/u/	/e/	/o/	/u/	/e/	/o/	/i/	/u/	/o/					
Vowel	+	−	+	−	+	+	+	−	+	−	−	+	−	−	+	+	−	+	+	+	−	+	+	+	−				
Vowel × Time					+	+	+	−	+	−	+	+	−	−					+	+	+	−	+	+	+	−			
Vowel × Time <sup>2</sup>					+	+	+	−	+	−	+	+	−	−					+	+	+	−	+	+	+	−			
Vowel × Time <sup>3</sup>	+	+	+	+									+	+	−	+	+	−	+	+	+	−							
Vowel × Time <sup>4</sup>													+	+	−									−	−	−	−	−	+
Vowel × Time <sup>5</sup>	+	+	+	+	+	+	−	−																	−	−	−		

Figure 5: Fixed-effects predictions of the growth curve models fitted to the excitation-drop trajectories. The effects of Vowel included in a model's structure are shown in the bottom left corner of each panel. Each model also included a fixed effect of intercept and of each power of Time<sup>n</sup> up to n = 5.



## Discussion

- Significant main effects of Vowel indicated context effects on spectral statics (i.e., average level of spectral-property trajectory).
  - Average peak ERB<sub>N</sub>-number of English /s/ sensitive to vowel frontness and rounding; of English /ʃ/, only to vowel frontness.
  - Average peak ERB<sub>N</sub>-number of Japanese /ɕ/ sensitive to vowel frontness and rounding.
- Significant interactions between Vowel and non-zero powers of Time indicated context effects on spectral dynamics (i.e., shape of a spectral property's time course).
  - Dynamics of peak ERB<sub>N</sub>-number and exception-drop trajectories for both English /s/ and /ʃ/ were sensitive to vowel height.
  - For Japanese /s/, dynamics of peak ERB<sub>N</sub>-number and of excitation drop were sensitive to both vowel rounding (first half of frication) and vowel height (second half of frication).
  - For Japanese /ʃ/, dynamics of peak ERB<sub>N</sub>-number and of excitation drop were sensitive to height.
- The preponderance of context effects on non-zero powers of Time suggests the importance of jaw movement for the spectral dynamics of sibilant fricatives.

## Acknowledgements

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